OMB APPROVAL OMB Number: 3235-0504 Expires:
Estimated average burden
Lhours per response.....3.60

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Cossing allo Expires: August 31, 2010 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow Based 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing

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Part I

Submit 1 Original and 9 Copies

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

Initial Listing Report

Execution

Act

Public Availability:

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Nasdaq PHLX LLC (traded pursuant to unlisted trading privileges)

Open End Management Investment Company

3. Class of New Derivative Securities Product:

Actively Managed Portfolio of Securities

6. Ticker Symbol(s) of New Derivative Securities Product:

8. Position Limits of New Derivative Securities Product (if applicable):

Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 2 (cash settled)

Exchange Traded Fund 4. Name of Underlying Instrument:

Listed on: NYSE, OTC

standards.

NINKOM Date: April 27, 2018

SEC 2449 (6-01)

William Slattery

Vice President Telephone Number: +1 301 9788088

Name of Official Responsible for Form:

Manual Signature of Official Responsible for Form:

Not Applicable

N/A

Part II

Title: